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APPLICATION NUMBER: 60/527,946

FILING DATE: *December 08, 2003*

RELATED PCT APPLICATION NUMBER: PCT/US04/41715



Certified By

Jon W Dudas

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**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

*Express mail label no: EV413351647 US*

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
Piero Andreas		Madar		Indianapolis, Indiana	
Richard Earl		Jones		Plainfield, Indiana	
<input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
METHOD AND APPARATUS FOR RESTRICTING PLAYBACK OF RECORDED DVD CONTENT					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>					
OR					
<input checked="" type="checkbox"/> Firm or Individual Name		JOSEPH S. TRIPOLI, THOMSON LICENSING INC.			
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ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages             8		<input type="checkbox"/> CD(s), Number _____			
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets             3		<input type="checkbox"/> Other (specify) _____			
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: <u>07-0832</u>					FILING FEE AMOUNT (\$) <div style="border: 1px solid black; padding: 2px; text-align: center;">160</div>
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____.					

Respectfully submitted,

SIGNATURE

*Reitseng Lin*

[Page 1 of 2]

Date

12/8/03

TYPED or PRINTED NAME

Reitseng Lin

REGISTRATION NO.  
(if appropriate)

42,804

TELEPHONE

609-734-6813

Docket Number:

PU030323

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This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1748 U.S. PTO

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 160

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Piero Andreas Madar  
Examiner Name  
Art Unit  
Attorney Docket No. PU030323

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account

Deposit  
Account  
Number 07-0832

Deposit  
Account  
Name THOMSON LICENSING INC.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
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to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	160
SUBTOTAL (1)					(\$) 160

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  - \*\* =  X  =   
Independent Claims  - \*\* =  X  =   
Multiple Dependent  X  =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

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## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Name (Print/Type) Reising Lin Registration No. (Attorney/Agent) 42,804 Telephone 609-734-6813  
Signature Date December 8, 2003

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## METHOD AND APPARATUS FOR RESTRICTING PLAYBACK OF RECORDED DVD CONTENT

### 5                                    Technical Field of Invention

This invention relates to the field of video recording apparatus, and, more particularly, to a DVD recorder that allows a user to enter a security code, so that the recorded content can be played back only if the correct security code is entered.

### 10                                   Background Art

There are currently two re-writeable DVD standards: DVD+RW and DVD-RW. The DVD+RW standard does not provide a method to restrict access to recordings. In fact, the current DVD+RW standard does not even provide parental management, which allows distributors to release multiple ratings versions of a movie on a single DVD disc and allows parents to lock a movie rating into their DVD player with a password, so that it will not play objectionable pieces. The DVD-RW standard, although includes parental management, does not provide a method allowing users to attach a security code to a recording made on their own DVD recorder.

### 20                                   Disclosure of Invention

According to the principles of the invention, a video recorder allows a user to enter a security code in a recording to restrict a playback of the recording. For a DVD recorder, the security code is stored in a user field included in presentation control information of the DVD.

25                    The DVD recorder may display a setup menu immediately after a recording is completed or when a recorded DVD has been inserted into the

DVD recorder for the user to enter the security code. If the recording includes more than one title, the recorder may allow the user to enter a security code for each title.

#### Brief Description of Drawings

5           FIG. 1 is an illustrative video recorder according to the principles of the invention for allowing a user to enter a security code in a recording.

FIG. 2 is an example of the presentation sequence of a video player when playing back a recording made according to the principles of the invention.

10           FIG. 3 illustrates a process performed by the DVD recorder according to the principles of the invention for allowing a user to enter a security code in a recording.

#### Detailed Description

FIG. 1 illustrates a video recorder, such as a DVD recorder, according to the principles of the invention, for building a menu using a stored image as a background of the menu in the recorded video/audio. The video recorder includes a controller, a MPEG encoder, a MPEG decoder, and a disc drive. The recorder also includes a baseband A/V input for receiving baseband video signals. Optionally, the recorder may also receive broadcast video signals from a tuner.

15           

20           

The controller receives the input video signals either from the baseband A/V input or the tuner, depending on the position of a switch. The controller processes the input video signals, and generates output video signals representing the input video signals. The output video signals are recorded into a first memory device, illustratively, a DVD-R, DVD-RW or

25

DVD+RW. Other memory device such as VCD, and nonvolatile memory such as a hard disk and SRAM can be used as well.

The disc drive, under the control of the controller or a separate processor (not shown) under the control of the controller, serves as a

5 recording interface to the first memory device.

Although illustrated as receiving analog signals, the recorder may receive MPEG input signals as well, if the recorder includes a MPEG decoder and a NTSC/PAL encoder to convert the MPEG signals into NTSC/PAL signals. Both the MPEG decoder and the NTSC/PAL encoder are not shown.

10 When a recording is completed or a recorded disc is inserted into the video recorder, the controller displays a setup menu requesting a user to enter a security code, such as a password, for the recording. In DVD applications, this security code is saved to a user data field of a file in the recording, for example, the reserved field in the RECI (recording information)

15 file as part of the presentation control information described, for example, in DVD Specifications for Rewriteable Disc, and the VRMI\_RECI\_UD field of the VRMI\_RECI (recording information) file as described in DVD+RW Video Format Specifications. The controller also modifies the Video Manager (VMGM) menu to include a password menu, and modifies the Program Chain

20 Information Table (PGCIT) of the VMGM, so that when the recorded DVD is played back, the DVD player will display the password menu requesting the user to enter a security code. If the security code does not match the security code saved for the recording, the DVD player will not play the contents of the recording.

As described in the DVD player specification (DVD specifications for Read-only Disc, Part 3 Video, Version 1.13), a PGC (program chain) prescribes the presentation sequence of an audio/video block. A recording is normally contained within a single PGC, although multiple PGCs, each for a title, are allowed as well. Since the method according to the principles of the invention conforms to the DVD player standard as well, all DVD-compliant players on the market should honor this restriction method.

For information purposes, the presentation sequence in a DVD player is briefly described below. The presentation of a PGC in a DVD player occurs in three basic stages: execution of PRE commands, playback of audio/video, and execution of POST commands. "Commands" are a set of internal DVD instructions that the player must perform. For examples, the set of PRE commands may include instructions such as selecting correct audio language or subpicture tracks and jumping to a menu or title on the disc, and the set of POST commands may include jumping to another title or menu.

According to the principles of the invention, the set of available set of commands within the DVD player can be authored on a recorded disc in such a way as to allow or prohibit the playback of any PGC (recording), based only on key input from the user. The method inserts additional PRE commands into the normal presentation sequence discussed above. These PRE commands causes playback to be diverted to a password challenging menu screen, where the user is asked to key in a security code, such as a sequence of alphanumeric characters. If the security code matches the stored security code that was authored on the disc, then playback proceeds normally. An example of the new presentation sequence is shown in FIG. 2.

FIG. 3 illustrates a process performed by a DVD recorder according to the principles of the invention for allowing a user to enter a security code, such as a password, in a recording. After a user has inserted a recorded DVD disk to the DVD recorder made according to the principles of the invention, the user chooses a single recording. In response, the recorder displays the message "Set or Change Password." This message preferably is accomplished by two interface buttons, one for allowing the user not to set or change the password, and the other allows the user to set/change the password. The user may choose not to set a password or not to change the existing password. However, if the user chooses to set/change password but no password was set, the recorder asks the user to key in a password and saves the password in the user data field of a file existed in the recording, for example, the VRMI\_RECI\_UD field of the VRMI\_RECI file in the DVD+RW standard. On the other hand, if a password was already entered, the recorder asks the user to enter the old password and the new password. If the old password matches the stored password, the stored password is replaced by the new password.

After the new password has been saved, the recorder, in particular the controller in the recorder, creates a password menu screen set in VMGM video objects (VOBs). In addition, the recorder also creates password menu processing commands, so that a DVD player will execute those commands to compare the entered password with the stored password and allows the playback of the recording if the entered password matches the stored password.



The recorder then adds two PRE commands in the PGC of the title, so that a playback is redirected to password menu in the VMGM domain. One of the PRE commands is to set a register, for example, for setting subpicture settings, and the other is to jump to the password menu VOB, for example, by  
5 calling the CallSS command in the DVD standards. Note that if the entered password matches the stored password, the last password menu processing command executed should return the execution to the next step of the original presentation sequence. An example of this command is the RSM (resume) command in the standards. Of course, if the entered password does not  
10 match the stored password, the last password menu processing command should terminate the playback, such as calling the EXIT command described in the standards.

The actual insertion of the additional PRE commands can be accomplished either when the recording is closed (stopped), or at anytime  
15 thereafter. If a disc is already recorded, the recorder will display the "Set or Change Password" message immediately after the disc is inserted into the recorder.

Although illustrated as setting a password for a title, if there is a plurality of title, the recorder may allow user to enter a different password for  
20 each title. Furthermore, although illustrated as a DVD recorder, the principles of the invention can be applied to any video recorder that can insert a security code and change the presentation sequence in a recording and a corresponding video player is able to execute the changed presentation sequence, accept a security code from a user, and check the entered security  
25 code against the stored security code before playing the recording content.

While this invention has been described with regard to a few presently preferred embodiments, those skilled in this art will readily appreciate that many alternative modes and embodiments can be carried out without departing from the spirit and scope of this invention.

## CLAIMS

What is claimed is:

1. A video recorder comprising:
  - 5 a controller for processing input video signals to generate output video signals; and
  - a memory interface for recording the output video signals to a first memory device, wherein
- the controller inserts a security code to the recording restricting a playback of
- 10 the recording in response to a user entering the security code.
2. The video recorder of claim 1, wherein the memory device is a DVD.
3. The video recorder of claim 2, wherein the controller stores the security code in a user field included in presentation control information of the DVD.
4. The video recorder of claim 3, further comprising an on-screen display
- 15 processor, under control of the controller, for displaying a setup menu including input for the entered security code.
5. The video recorder of claim 4, wherein the on-screen display processor displays the setup menu when a DVD with the recording is inserted to the recorder.
- 20 6. The video recorder of claim 4, wherein the setup menu includes an input for each title for the user to enter a security code.

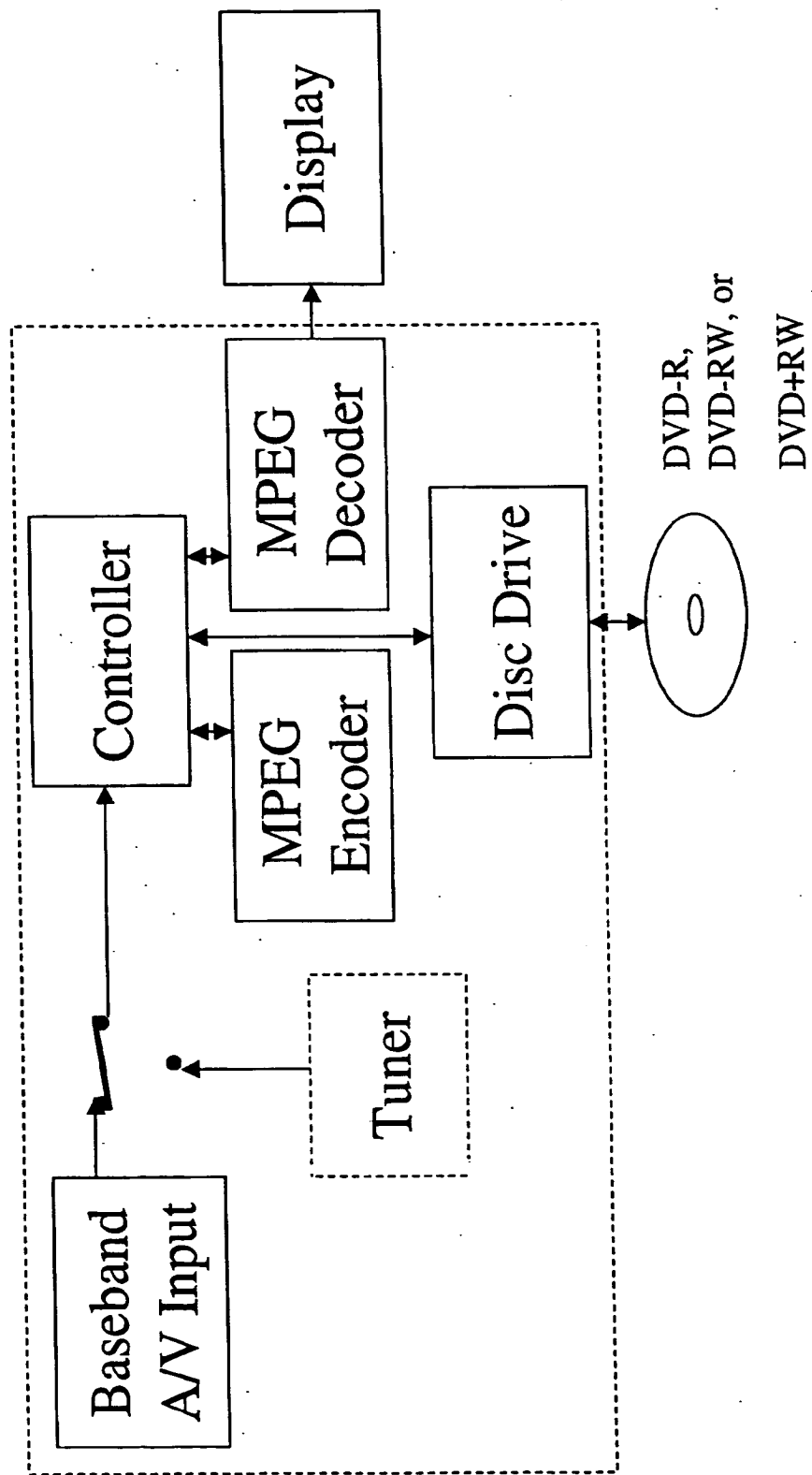
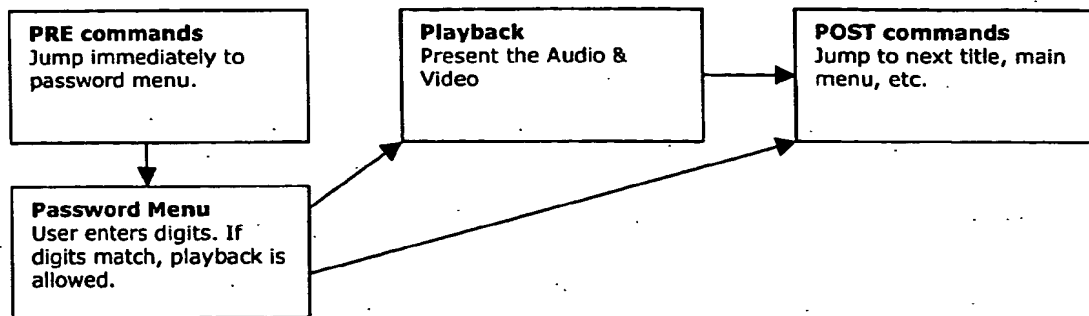
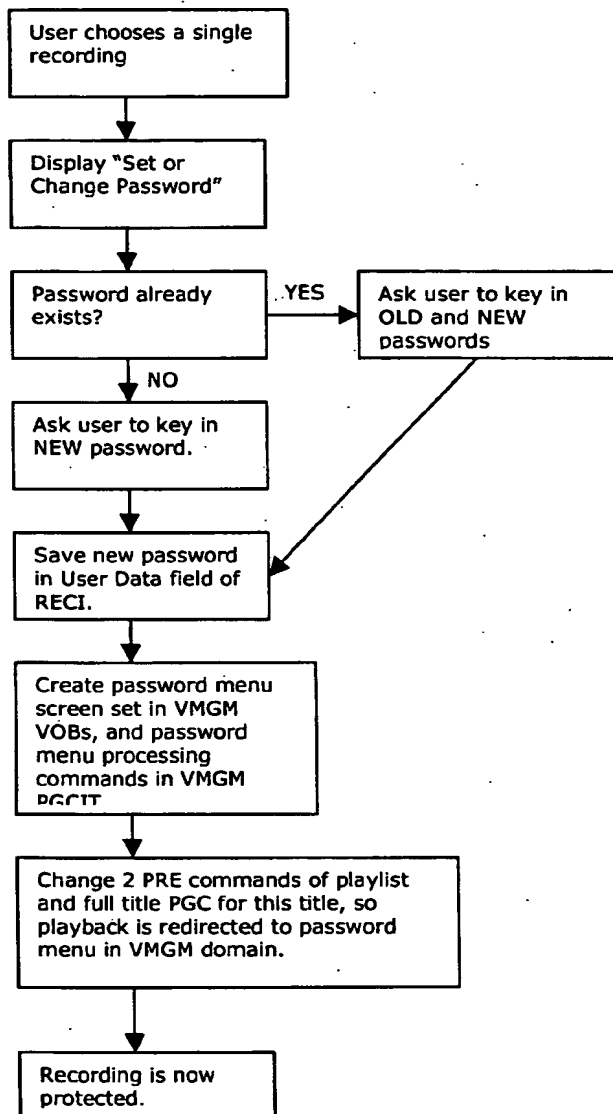


FIG. 1



**FIG. 2**

**FIG. 3**



# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US04/041715

International filing date: 08 December 2004 (08.12.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/527,946  
Filing date: 08 December 2003 (08.12.2003)

Date of receipt at the International Bureau: 10 January 2005 (10.01.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



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